



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/413,971-Conf. #2659		
		Filing Date	October 7, 1999		
		First Named Inventor	Louis Riehl		
		Art Unit	3627		
Examiner Name	C. R. Buchanan				
Sheet	1	of	2	Attorney Docket Number	J2167.0090

US PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Filename on Disc
JS	AA	US 4,417,136 (Bates: JPMC 002151 - JPMC 002157)	11/22/1983	Robert J. Rushby	JPMC002151.pdf
JS	AB	US 6,363,164 B1 (Bates: JPMC 020130 - JPMC 020265)	3/26/2002	John E. Jones, et al.	JPMC020130.pdf
JS	AC	US 5,963,647	10/5/1999	John Downing	LTG00002790014.pdf

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Filename on Disc	T ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Filename on Disc	T ²
JS	CA	NCR's ATM captures images at the point of deposit. (NCR Corp.'s new automated teller machine)(Product Announcement) and Bank Gets Big Response To Image Statements; Gale Group; 1/20/1993	00000230.pdf	
JS	CB	Check Processing Conference Reference Guide; 3/22/1994	00001449.pdf	
JS	CC	AS/400 ImagePlus System View; M. Addink, et al; IBM Systems Journal; Vol. 29(3); 1990; pp. 451 - 466; 1/1/1990 (Bates: JPMC 264393 - JPMC 264408)	JPMC_264393.pdf	
JS	CD	First Fully Authenticated Digital Video Surveillance System Features Advanced RSA Security Technology; RSA Security Press Release; retrieved from http://www.rsasecurity.com/press_release.asp?doc_id=836 ; 9/23/1995 (Bates: JPMC 267825 - JPMC 267826)	JPMC_267825.pdf	
JS	CE	Various Minutes of Interbank Check Image Project meetings of the Financial Services Technology Consortium at Lawrence Livermore National Laboratory on or around April 25-26, 1994 as well as charts and presentation material; 4/25/1994 (Bates: JPMC 000964 - JPMC 001010)	JPMC000964.pdf	

122208-1; 2M@_011.DOC
DOCSNY.122208.1

JAMES S. McClellan 8/29/05
JAMES MCCLELLAN
PRIMARY EXAMINER



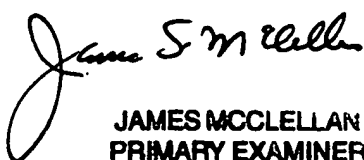
PTO/SB/08a/b (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/413,971-Conf. #2659
				Filing Date	October 7, 1999
				First Named Inventor	Louis Riehl
				Art Unit	3627
				Examiner Name	C. R. Buchanan
Sheet	2	of	2	Attorney Docket Number	J2167.0090

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Filename on Disc	T ²	
	CF	Check Image in Proof of Deposit: Update; David W. Medeiros; Tower Group; 3/31/1998 (Bates: JPMC 002702 - JPMC 002712)	JPMC002702.pdf		
	CG	Payments, Clearance, and Settlement - A Guide to the Systems, Risks, and Issues; United States General Accounting Office; 6/1/1997 (Bates: JPMC 153096 - JPMC 153287)	JPMC153096.pdf		
	CH	NCR 7731 Personal Image Processor - General Information; NCR Corp.; 1/1/1993 (Bates: JPMC 154551 - JPMC 154635)	JPMC154551.pdf		
	CI	Scanning Goes Vertical: A Big Future for Specialized Check Scanning; Check Scanning Technology; Advanced Imaging; Vol. 12; pg. 54; 10/1/1997 (Bates: JPMC 154655 - JPMC 154657)	JPMC154655.pdf		
	CJ	NCR Deposit Processing Technology Speeds Banking Operations; AT&T News Release; 12/7/1992 (Bates: JPMC 154658 - JPMC 154660)	JPMC154658.pdf		
	CK	NCR'S ATM Captures Images At The Point of Deposit; Item Processing Report; Phillips Business Information, Inc.; Vol. 3(25); 12/24/1992 (Bates: JPMC 154906 - JPMC 154906)	JPMC154906.pdf		
	CL	Image-Based Transaction Processing - The Banking Industry's Challenge for the 1990s and Beyond; Andersen Consulting; American Bankers Association (Bates: JPMC 260340 - JPMC 260397)	JPMC260340.pdf		
	CM	Integrated, Customer-Focused Banking Architecture: Tomorrow's Competitive Edge; NCR Reports; NCR; Issue 3; 3/1/1997 (Bates: JPMC 263576 - JPMC 263581)	JPMC263576.pdf		
	CN	PACES (Paperless Automated Check Exchange & Settlement Project Proposal; Financial Services Technology Consortium; 2/5/1998 (Bates: JPMC-PACES 004565 - JPMC-PACES 004589)	JPMC-PACES_004565.pdf		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.


JAMES MCCLELLAN
PRIMARY EXAMINER

8/25/05